

# Potential for large outbreaks of Ebola virus disease

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## Abstract

Outbreaks of Ebola virus can cause substantial morbidity and mortality in affected regions. The largest outbreak of Ebola to date is currently underway in West Africa, with 3,944 cases reported as of 5th September 2014. To develop a better understanding of Ebola transmission dynamics, we revisited data from the first known Ebola outbreak, which occurred in 1976 in Zaire (now Democratic Republic of Congo). By fitting a mathematical model to time series stratified by disease onset, outcome and source of infection, we were able to estimate several epidemiological quantities that have previously proved challenging to measure, including the contribution of hospital and community infection to transmission. We found evidence that transmission decreased considerably before the closure of the hospital, suggesting that the decline of the outbreak was most likely the result of changes in host behaviour. Our analysis suggests that the person-to-person reproduction number was 1.34 (95% CI: 0.92–2.11) in the early part of the outbreak. Using stochastic simulations we demonstrate that the same epidemiological conditions that were present in 1976 could have generated a large outbreak purely by

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chance. At the same time, the relatively high person-to-person basic reproduction number suggests that Ebola would have been difficult to control through hospital-based infection control measures alone.

*Keywords:* Ebola, 1976 Zaire outbreak, mathematical model, basic reproduction number

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## 1 **Introduction**

2     There have been more than twenty-five known outbreaks of Ebola virus dis-  
3 ease in Africa since the disease was first identified in Zaire (now Democratic Re-  
4 public of Congo) in 1976 (Centers for Disease Control and Prevention, 2014).  
5 Five *ebolavirus* strains have been identified in total, the most virulent of which  
6 appears to be the Ebola Zaire variant (EBOV); it was responsible for over a dozen  
7 outbreaks between 1976–2008, with overall case fatality rate of 79% (95% CI:  
8 0.76–0.81) (Centers for Disease Control and Prevention, 2014; Breman et al.,  
9 1978; Formenty et al., 2003; Georges et al., 1999; Heymann et al., 1980; Khan  
10 et al., 1999; Leroy et al., 2004; Nkoghe et al., 2011; Pattyn, 1978; Report of an  
11 International Commission, 1978). Transmission occurs as a result of direct con-  
12 tact with the body fluids of infected individuals, and is unlikely to occur during  
13 the incubation period (Breman et al., 1978; Dowell et al., 1999). In March 2014,  
14 a new outbreak of EBOV was identified in West Africa. Cases were reported first  
15 in Guinea (Baize et al., 2014), then in Liberia, Sierra Leone, Nigeria and Sene-  
16 gal. The outbreak is the largest to date: as of 5th September 2014, 3,944 cases  
17 have been reported by the World Health Organisation, and 1,759 deaths (World  
18 Health Organisation, 2014). Unlike previous outbreaks, which were centred on  
19 rural communities, infections have also been detected in large urban areas in 2014.  
20 It is therefore crucial to develop a better understanding of the transmission dynam-

21 ics of EBOV, and the implications it could have for control measures.

22       There have been a number of modelling studies of Ebola, which have focused  
23 on two historical outbreaks (Table 1). For the 1995 outbreak in Democratic Re-  
24 public of Congo, estimates of the basic reproduction number have ranged from  
25 1.4 to 3.7 (Chowell et al., 2004; Ferrari et al., 2005; Legrand et al., 2007; Lekone  
26 and Finkenstädt, 2006; Ndanguza et al., 2013; White and Pagano, 2008); for the  
27 2000/1 outbreak in Uganda, estimates span 1.3 to 2.7 (Chowell et al., 2004; Fer-  
28 rari et al., 2005; Legrand et al., 2007; McKinley et al., 2009). These studies fitted  
29 models of varying complexity to time series with date of disease onset and/or  
30 death. However, in both outbreaks, hospital-based infection played a substan-  
31 tial role in transmission (Borchert et al., 2011; Khan et al., 1999; Francesconi  
32 et al., 2003). As the data were not stratified by likely source of infection, it was  
33 not possible to identify the relative contribution of different transmission routes  
34 to the reproduction number. It therefore remains unclear to what extent person-  
35 to-person transmission contributed to past Ebola outbreaks, and how community  
36 and hospital-specific control measures influenced the reproduction number in each  
37 setting.

38       To gain further insights into the dynamics of Ebola, we revisited case data from  
39 the first known EBOV outbreak in 1976. These data included information on the  
40 likely source of infection, as well as date of onset and outcome. We used a trans-  
41 mission model to infer the basic reproduction number in different settings, and  
42 assessed the contribution of hospital and community infection to disease trans-  
43 mission. Having characterised the dynamics of EBOV, we used stochastic simula-  
44 tions to investigate alternative outcomes that could have been generated with the  
45 same epidemiological conditions present in 1976, and assessed the potential for a  
46 large outbreak of the disease. Finally, we discuss the implications of our results  
47 for other Ebola outbreaks.

## 48 **Methods**

### 49 *Data*

50 Between August and November 1976, there were 318 reported cases of Ebola  
51 in the Yandongi collectivity of Zaire, with 280 deaths. The outbreak was centred  
52 around the Yambuku Mission Hospital. With only five syringes issued each day,  
53 exposure to contaminated syringes and needles during routine outpatient visits  
54 was a common route of transmission; infected hosts then returned to their villages,  
55 and in some cases infected others in the community (Breman et al., 1978).

56 In our analysis, we used a line list of 262 cases, taken from the original epi-  
57 demiological investigations (Breman et al., 1978; Report of an International Com-  
58 mission, 1978). The data (Supplementary File S1) reported: date of disease onset;  
59 outcome (death/recovery); date of outcome; and likely source of transmission (sy-  
60 ringe during outpatient visit/person-to-person transmission/both/other). The pro-  
61 gression of the outbreak is shown in Figure 1. Of the reported 262 cases, 250  
62 had a likely source of infection recorded and 8 dates of onset and outcome were  
63 missing (Table S1). We used the line list to compile four daily time series: on-  
64 set of disease following hospital infection via syringe (87 cases in total); onset of  
65 disease following person-to-person infection (140 cases in total); reported deaths  
66 (248 cases in total); and reported recoveries (11 cases in total).

### 67 *Model*

68 We used a compartmental model of infection to analyse the temporal dynam-  
69 ics of Ebola (Legrand et al., 2007). The model structure is outlined in Figure 2.  
70 We assumed that individuals start off susceptible to infection ( $S$ ). Upon infection  
71 they enter an incubation period ( $E$ ), then become symptomatic and infectious in  
72 the community ( $I$ ). We therefore assume that the latent and incubation periods are

equivalent. After this point, they either: enter a recovered state ( $R$ ); remain infectious and go into hospital ( $H$ ); or die and remain infectious ( $D$ ) until buried ( $B$ ). Following hospitalisation, infectious hosts also move either into the recovered or dead compartment.

We assumed susceptible hosts in the community could become infected in three different ways: person-to-person transmission from an infectious host in the community, at rate  $\beta_i(t)$ , or from a dead but not buried patient during a traditional funeral ceremony, at rate  $\beta_d(t)$ ; or hospital transmission via syringe during outpatient visits, at rate  $\beta_h(t)$ .

There was evidence that hospital and person-to-person transmission declined over the course of the 1976 outbreak. Epidemiological reports note that the community stopped coming to the outpatient department as they associated the epidemic with the Yambuku Mission Hospital, which eventually was closed on 30th September. Also, as time went on the population became very suspicious and did not touch the corpses anymore, not even to bury them (Breman et al., 1978). We therefore used time-dependent smooth decreasing functions for  $\beta_i(t)$ ,  $\beta_d(t)$  and  $\beta_h(t)$  (Chowell et al., 2004; Lekone and Finkenstädt, 2006; Ndanguza et al., 2013):

$$\begin{aligned}\beta_i(t) &= \beta_i(1 - \delta_{pp}\sigma(t, \alpha_{pp}, \tau_{pp})) \\ \beta_d(t) &= \beta_d(1 - \delta_{pp}\sigma(t, \alpha_{pp}, \tau_{pp})) \\ \beta_h(t) &= \beta_h(1 - \sigma(t, \alpha_h, \tau_h))\mathbb{1}_{t < T_h}\end{aligned}\tag{1}$$

where  $\mathbb{1}_{t < T_h}$  is the indicator function and  $\sigma$  is the following sigmoid function:

$$\sigma(t, \alpha, \tau) = \frac{1}{1 + \exp(-\alpha(t - \tau))}.\tag{2}$$

As  $t$  grew large, we assumed that  $\beta_i(t)$  and  $\beta_d(t)$  approached values equal to a proportion  $\delta_{pp}$  of their initial values. We also assumed that no further hospital transmission occurred after the hospital closed on 30 September (i.e.  $\beta_d(t) = 0$ ),

95 and that no new cases entered the  $H$  compartment after this point.

96 Using our estimates of  $\beta_i(0)$ ,  $\beta_d(0)$  and  $\beta_h(0)$ , we were able to calculate the  
 97 basic reproduction number,  $R_0$ , defined as the average number of secondary cases  
 98 produced by a typical infectious host at the onset of the outbreak (i.e., in a com-  
 99 pletely susceptible population), see details in Text S3. At the start of the outbreak,  
 100 the reproduction number,  $R(t)$ , defined as the average number of secondary cases  
 101 produced by a typical infectious host at time  $t$ , was equal to  $R_0$ ; as the outbreak  
 102 progressed,  $R(t)$  could vary depending on the values of  $\beta_i(t)$ ,  $\beta_d(t)$  and  $\beta_h(t)$ , as  
 103 well as through depletion of susceptibles.

104 The full model was as follows (for brevity, the time dependencies of state  
 105 variables are omitted):

$$\begin{aligned}
 \frac{dS}{dt} &= -(\beta_i(t)I + \beta_h(t)H + \beta_d(t)D) \frac{S}{N} \\
 \frac{dE_{pp}}{dt} &= (\beta_i(t)I + \beta_d(t)D) \frac{S}{N} - \epsilon E_{pp} \\
 \frac{dE_h}{dt} &= \beta_h(t)H \frac{S}{N} - \epsilon E_h \\
 \frac{dI}{dt} &= \epsilon(E_{pp} + E_h) - \Gamma_i(t)I \\
 \frac{dH}{dt} &= \gamma_h \kappa_i(t)I - (\phi_h \nu_d + (1 - \phi_h) \nu_r)H \\
 \frac{dD}{dt} &= \gamma_d(1 - \kappa_i(t)) \phi_i I + \nu_d \phi_h H - \mu_b D \\
 \frac{dR}{dt} &= \gamma_r(1 - \kappa_i(t))(1 - \phi_i)I + \nu_r(1 - \phi_h)H \\
 \frac{dB}{dt} &= \mu_b D
 \end{aligned} \tag{3}$$

106 Parameters are summarised in Table 2. We used flat priors for case-fatality ratio  
 107 and transmission-related parameters. We also used additional epidemiological  
 108 information not in our time series (Supplementary File S2; (Breman et al., 1978;  
 109 Report of an International Commission, 1978; Khan et al., 1999; Nkoghe et al.,  
 110 2011; Okware et al., 2002)) to inform strong prior distributions for: proportion

111 of cases reported; proportion of cases hospitalised; incubation period; time from  
 112 onset to hospitalization, death and recovery. A strong prior centred around 24  
 113 hours was used for the time from death to burial of individuals (Isaacson et al.,  
 114 1978; Sureau et al., 1978). We fixed the initially susceptible population size at  
 115 60,000, as this was the number of people for which the Yambuku Mission Hospital  
 116 served as principal point of care (Report of an International Commission, 1978).  
 117 We assumed that the index case was introduced in the  $H$  compartment at a time  
 118  $T_0$ , which was also estimated. Indeed, the first reported case (25th August) was  
 119 infected via a syringe and there is evidence that an unknown man came to the  
 120 hospital with Ebola-like symptoms shortly before that date (Breman et al., 1978).

121 As the model included multiple transitions between compartments, we needed  
 122 to define certain parameters carefully. To ensure that the overall case-fatality ratio  
 123 was equal to  $\phi$ , we defined  $\phi_i$  and  $\phi_h$  as follows:

$$\begin{aligned}\phi_i &= \frac{\phi\gamma_r}{\phi\gamma_r + (1 - \phi)\gamma_d} \\ \phi_h &= \frac{\phi\nu_r}{\phi\nu_r + (1 - \phi)\nu_d}.\end{aligned}\tag{4}$$

124 Similarly,  $\kappa_i(t)$  was computed to ensure that the overall hospitalisation rate was  
 125 equal to  $\kappa$  until hospital closure:

$$\kappa_i(t) = \frac{\kappa(\gamma_r(1 - \phi_i) + \phi_i\gamma_d)}{\kappa(\gamma_r(1 - \phi_i) + \phi_i\gamma_d) + (1 - \kappa)\gamma_h} \mathbb{1}_{t < T_h}.\tag{5}$$

126 Finally,  $\Gamma_i(t)$  denotes the total rate of exit from the  $I$  compartment:

$$\Gamma_i(t) = \gamma_h\kappa_i(t) + \gamma_d(1 - \kappa_i(t))\phi_i + \gamma_r(1 - \kappa_i(t))(1 - \phi_i),\tag{6}$$

127 and  $\nu_d$  and  $\nu_r$  are the inverse of the mean time from hospitalisation to death and  
 128 recovery respectively:

$$\begin{aligned}\nu_d &= \frac{\gamma_d\gamma_h}{\gamma_h - \gamma_d} \\ \nu_r &= \frac{\gamma_r\gamma_h}{\gamma_h - \gamma_r}.\end{aligned}\tag{7}$$

129 *Inference*

130 To compare the model output with observed data, we calculated the incidences  
 131 corresponding to the four time series on each day:

$$\begin{aligned}
 \Delta I_{pp}(t) &= \int_t^{t+1} \epsilon E_{pp} dt \\
 \Delta I_h(t) &= \int_t^{t+1} \epsilon E_h dt \\
 \Delta D(t) &= \int_t^{t+1} \left( \gamma_d(1 - \kappa_i(t)) \phi_i I + \nu_d \phi_h H \right) dt \\
 \Delta R(t) &= \int_t^{t+1} \left( \gamma_r(1 - \kappa_i(t)) (1 - \phi_i) I + \nu_r (1 - \phi_h) H \right) dt
 \end{aligned} \tag{8}$$

132 We assumed that onset (i.e.  $\Delta I_{pp}(t)$ ,  $\Delta I_h(t)$ ), death ( $\Delta D(t)$ ) and recovery ( $\Delta R(t)$ )  
 133 data were reported according to Poisson processes with constant reporting rates  
 134  $\rho_{\text{onset}}$ ,  $\rho_d$  and  $\rho_r$  respectively. We allowed for three potentially different reporting  
 135 rates because i) not all cases had reported onsets; ii) 30 of the 262 were reported  
 136 with both, other or unknown source of infection rather than person-to-person or  
 137 syringe. These cases were therefore included in the outcome time series but not  
 138 in the onset one; and iii) only 11 of 38 (29%) recovery cases versus 248 of 280  
 139 (89%) death cases were reported.

140 The probability of observing  $y_{pp}(t)$  new onsets resulting from person-to-person  
 141 transmission on day  $t$ , given parameter set  $\theta$  (summarised in Table 2) was there-  
 142 fore as follows:

$$L_{pp}(y_{pp}(t) \mid \theta) = \frac{[\rho_{\text{onset}} \Delta I_{pp}(t)]^{y_{pp}(t)} e^{-\rho_{\text{onset}} \Delta I_{pp}(t)}}{y_{pp}(t)!} . \tag{9}$$

143 Similar expressions ( $L_h$ ,  $L_D$  and  $L_R$ ) were derived for the other incidence data  
 144 and combined into the likelihood function:

$$L(y_{pp}, y_h, y_D, y_R \mid \theta) = \prod_{t=1}^{72} \prod_{k \in \{pp, h, D, R\}} L_k(y_k(t) \mid \theta) \tag{10}$$

145 We used a Bayesian framework to fit the model to all four time series simultane-  
146 ously and make inference on the parameter set  $\theta$ . Given the likelihood function  
147  $L$  and the chosen prior distribution of the parameters, the posterior distribution is  
148 known up to a normalising constant. Markov chain Monte Carlo methods con-  
149 struct Markov chains whose stationary distribution is the distribution of interest,  
150 when it cannot be directly simulated. We used the SSM library (Dureau et al.,  
151 2013), which implements an adaptive Metropolis-Hastings algorithm (Roberts  
152 and Rosenthal, 2009) to generate sequences of draws from the posterior distribu-  
153 tion of the parameters. We refer to Text S1 for more details. To test the accuracy  
154 of our inference framework, we fitted the model to observed data, generated a set  
155 of simulated time series from our fitted model, then estimated the parameters from  
156 the simulated data; our inference framework was able to recover the parameters  
157 in question (Text S2, Table S2 and Figures S2–S5).

## 158 **Results**

159 Our model was able to capture the dynamics of Ebola virus disease, including  
160 infections resulting from exposure to contaminated syringes and person-to-person  
161 transmission, and the timing of outcomes (Figure 3). By fitting to multiple time  
162 series, we were able to jointly estimate a number of key epidemiological param-  
163 eters (Table 2 and Figure S1). Using these estimates, we calculated the contribu-  
164 tion of person-to-person transmission (via infection from living and dead hosts in  
165 the community) and hospital-based transmission (via contaminated syringe) to the  
166 overall basic reproduction number,  $R_0$  (see Text S3). We found that the overall  $R_0$   
167 was 4.71 (95% CI: 3.92–5.66) at the onset of the epidemic. Most of this number  
168 was the result of hospital-based transmission, although we found evidence that the  
169 person-to-person basic reproduction number was potentially above 1 (Table 3).

170 Person-to-person transmission was separated into two components in the model:

infections occurring while the case was alive and those occurring after death (i.e. before the patient had been buried). This meant fitting both transmission rates  $\beta_i$  and  $\beta_d$ . However, due to limited data on these specific transmission routes, the relative contribution to infection from living and dead patients in the community was not fully identifiable (see Text S1). When we fitted both transmission rates independently, the contributions from community and funeral cases to  $R_0$  were highly correlated (Figure S6). As it was not possible to identify the contribution from community and funeral infection to person-to-person transmission, we therefore gathered the two measurements together into a single person-to-person basic reproduction number, denoted  $R_{opp}$ , which could be estimated from the available data.

As the epidemic progressed, we found that the overall reproduction number decreased due to changes in the contact rate within the community and within the hospital. Splitting the overall reproduction number into its person-to-person and hospital components, we found that although hospital transmission was dominant during the early stages of the epidemic, it had dropped significantly by mid September (Figure 4). Our results suggest the hospital reproduction number  $R_h$  was below 1 well before the hospital closed on the 30 of September. Moreover, we found that hospital closure alone could not explain the observed data; when changes in person-to-person and hospital-based transmission were excluded, the model performed significantly worse (Table S3). We estimated that the drop in person-to-person transmission occurred later and less sharply than the reduction in exposure to contaminated syringes. However, the reduction in person-to-person transmission was still enough to drive the overall reproduction number below 1 by the end of September. Overall, these results are consistent with the observations reported by the epidemiological investigation team (Breman et al., 1978).

To examine the possible range of dynamics for an outbreak with the same

198 characteristics as the one observed in the 1976 Yambuku outbreak, we ran 10,000  
199 stochastic simulations of our model under the maximum a posteriori probability  
200 estimates of the parameters (Figure 5). We found that although most simulated  
201 epidemics were of similar size to the one in 1976, major outbreaks could also oc-  
202 cur. Although only 2.6% of simulations resulted in a major outbreak (i.e. more  
203 than 1000 cases), the cumulated number of cases could reach up to several thou-  
204 sands in the worst-case scenario (Figure 6A). In the context of the 1976 epidemic,  
205 such a major outbreak could have arisen if – by chance – a sufficiently high num-  
206 ber of infections had occurred before the change of community contact and hos-  
207 pital seeking behaviours.

208 To understand how different control measures could affect outbreak size, we  
209 also considered several alternative scenarios in our simulation study. First, we  
210 set the hospital closure date in the model to be 7 days after the onset date of the  
211 first case in the line list i.e. on 1st September rather than 30th. Although early  
212 closure resulted in fewer cases, with no outbreaks generating more than 1000  
213 cases, there were still occasionally outbreaks consisting of several hundred cases  
214 (Figure 6C). Next, we examined the effect of a smaller reduction in person-to-  
215 person transmission, assuming that the hospital reduction remained the same. We  
216 found that if the person-to-person transmission rate was reduced by 50% – rather  
217 than 98% as in our median estimates – transmission could persist longer in the  
218 community, and hence 28% of simulations resulted in an outbreak of at least 1000  
219 cases (Figure 6D). The number of reported cases in historical Ebola outbreaks has  
220 varied greatly, from a few infections to more than 3500 (Figure 6B); our results  
221 suggest that such variability might be expected given the transmission dynamics  
222 of Ebola.

223 To explore the possibility of large outbreak occurring without the large contri-  
224 bution from hospital transmission, we also considered a model with only person-

225 to-person transmission (i.e.  $R_{0h} = 0$ ). We assumed that the index case started in  
226 the community ( $I$  compartment). In the absence of control measures, we found  
227 that 35% of outbreaks resulted in more than 1000 cases.

228 As well as allowing us to model setting-specific transmission, the line list  
229 made it possible to directly calculate the case-fatality ratio (CFR) in different set-  
230 tings. We found that the probability of survival varied depending on route of  
231 transmission. The overall CFR – defined as the proportion of cases that died –  
232 across the 262 cases in our line list was  $251/262 = 0.96$  (binomial 95% CI: 0.93–  
233 0.98). The CFR for cases that resulted from person-to-person transmission was  
234 0.92 (0.87–0.96); in contrast, the CFR for cases that were exposed via a contami-  
235 nated syringe was 1.00 (0.96–1.00). Note that these empirical CFR estimates are  
236 based on a subset of 262 of the 318 reported cases in the Yambuku outbreak, for  
237 whom individual data were available. The CFR based on all 318 reported cases  
238 was  $280/318 = 0.88$  (95% CI: 0.86–0.92) (Breman et al., 1978).

## 239 Discussion

240 Using a model of Ebola virus transmission, we examined the role of different  
241 transmission routes during the 1976 outbreak in DRC. We found that the basic re-  
242 production number ( $R_0$ ) associated with hospital transmission was significantly  
243 above one. Our analysis also suggests that the person-to-person reproduction  
244 number  $R_{pp}$  could have been above 1 for the early part of the outbreak. This  
245 has profound implications: it suggests that a large outbreak (involving thousands  
246 of cases) could have happened even without changing any epidemiological con-  
247 ditions. We estimated the probability of such a large outbreak ( $>1000$  cases) to  
248 be around 3%. This means that given the same initial conditions, Ebola outbreaks  
249 would have been occasionally been large, just by chance. Moreover, a relatively  
250 high person-to-person transmission component ( $R_{0pp} \approx 1$ ) implied that the 1976

251 epidemic would have been difficult to control via hospital-based infection control  
252 measures alone. If the reduction in community transmission had been smaller, or  
253 infection had been seeded into a number of different communities, the outbreak  
254 could have continued for some time.

255 Our results also suggest that changes in behaviour caused a significant re-  
256 duction in both hospital-to-community and within-community transmission. Al-  
257 though Yambuku Mission hospital closed on the 30th September, we found that  
258 the reduction in transmission occurred well before this point, most likely from sus-  
259 ceptible hosts having less contact with infected patients, and making fewer routine  
260 outpatient visits to the hospital (Breman et al., 1978). As well as contributing to  
261 transmission, infections from syringes also appeared to have a higher case fatality  
262 ratio (CFR) than person-to-person infections. This could have been the result of  
263 a larger viral inoculum during contact with a contaminated syringe. With more  
264 data on transmission events – including chains of person-to-person infection – it  
265 would be possible to further investigate the role of exposure in the natural history  
266 of Ebola infection.

267 Even with four time series, it was not possible to robustly distinguish between  
268 person-to-person transmission resulting from contact with community cases and  
269 funeral attendance. Additional case data, such as dates on which patients took care  
270 of an infected case or attended a funeral ceremony could allow us to disentangle  
271 the relative role of these two routes of community transmission. However, it is  
272 plausible that individuals had similar contact rates with infected and dead patients.  
273 Epidemiological investigations in 1976 found that 86% of hosts infected from  
274 person-to-person transmission reported prior contact with alive Ebola patients; the  
275 same proportion reported attending the funeral of an infected case (Breman et al.,  
276 1978). Assuming similar transmissibility for both types of contact, this would be  
277 equivalent to setting  $\beta_i = \beta_d$  in our model.

278        There are some additional limitations to the model. First, we assumed that  
279 hosts mixed randomly both in the community and hospital. This was a reason-  
280 able assumption given that we stratified the data by route of transmission and  
281 outcome. However, there was evidence that certain groups, such as women aged  
282 15–29, were more likely to attend clinics at Yambuku Mission Hospital in 1976  
283 and hence be exposed to syringes (Report of an International Commission, 1978).  
284 To model the dynamics of the infection at a finer resolution, for instance by com-  
285 paring model outputs to age-stratified case data, it would be necessary to account  
286 for such heterogeneity. We also assumed that occurrence of reported cases was  
287 Poisson distributed, and the proportion reported did not vary over time or by loca-  
288 tion. This might be plausible when cases occur in a relatively short outbreak in a  
289 small geographic region, but during outbreaks that span a much larger geographic  
290 area and persist for several months, reporting could change with time and vary be-  
291 tween different settings. Moreover, if the dynamics of Ebola were to be modelled  
292 in real-time, it would be important to account for potential delays in reporting of  
293 cases and outcomes.

294        In our stochastic scenario analysis we also assumed that timing and magni-  
295 tude of changes in transmission rate were independent of epidemic size. Our  
296 simulations that used parameters from the fitted model (Figure 6A) therefore as-  
297 summed that identification and control of the infection would not have occurred  
298 quicker if more individuals had been infected earlier. However, we tested the  
299 sensitivity of our results to timing of hospital closure by assuming that the hospi-  
300 tal closed one week after the first case (Figure 6C); we also explored the effects  
301 of a smaller change in magnitude in person-to-person risk (Figure 6D). Ideally,  
302 it would be possible to define a functional relationship between incidence and  
303 changes in transmission rate (Funk et al., 2009). However, this relationship is  
304 likely to be complex and setting-specific: in 1976, behavioural changes reduced

305 transmission (Bremam et al., 1978); in other Ebola outbreaks, large amounts of in-  
306 fection have increased fear and mistrust in the community, which might also have  
307 increased transmission (Borchert et al., 2011; World Health Organisation, 2014).

308 The modelling tools illustrated here could easily be adapted for other Ebola  
309 outbreaks, and highlight the benefits of having data on likely source of infection  
310 and time of onset, hospitalisation and outcome for each patient. Previous Ebola  
311 modelling studies have examined the 1995 outbreak in Kikwit, DRC (Chowell  
312 et al., 2004; Ferrari et al., 2005; Legrand et al., 2007; Lekone and Finkenstädt,  
313 2006; White and Pagano, 2008; McKinley et al., 2009; Ndanguza et al., 2013),  
314 and the 2000/1 outbreak in Uganda (Chowell et al., 2004; Ferrari et al., 2005;  
315 Legrand et al., 2007). As in Yambuku in 1976, hospital-based transmission played  
316 a substantial role in both outbreaks (Khan et al., 1999; Francesconi et al., 2003).  
317 However, modelling studies so far have incorporated time series for onset and/or  
318 death only, which meant that it was not possible to robustly infer the role of differ-  
319 ent routes of infection, such as the contribution of hospital and community trans-  
320 mission. In contrast, by fitting a transmission model to time series stratified by  
321 transmission route, we were able to estimate the contribution of different sources  
322 of infection to the dynamics of the epidemic.

323 We estimated that the overall  $R_0$  was 4.71 (95% CI: 3.92–5.66) for the 1976  
324 Yambuku outbreak. This is high compared to estimates of  $R_0$  in the 1995 and  
325 2000/1 outbreaks, which ranged from 1.34–3.65 (Table 1). However, our analysis  
326 suggests that most of the  $R_0$  in 1976 consisted of transmission via syringe; the  
327 person-to-person basic reproduction number was 1.34 (0.92–2.11). Given data on  
328 likely source of infection in 1995 and 2000/1, it would be possible to establish  
329 whether person-to-person transmission contributed a similar amount to overall  
330 transmission during these outbreaks.

331 Our estimate of a person-to-person basic reproduction number  $R_{0pp} \approx 1$  in

1976 suggests that Ebola would have been capable of generating a wide range of outbreak sizes in the absence of any extrinsic variation in epidemiological conditions. This implies that effective reduction in person-to-person transmission was crucial in reducing the potential size of the outbreak; stochastic simulations suggest Ebola could still have generated a large number of cases if hospital transmission was absent in 1976. Measures to reduce person-to-person transmission – including isolation of patients, follow-up surveillance of their contacts, and education to curtail infection in the community – are therefore likely to form a crucial part of the response to Ebola outbreaks (Borchert et al., 2011; Khan et al., 1999; Okware et al., 2002).

As well as variation in social and cultural factors between different regions, the stochastic nature of Ebola outbreaks means that inference to other settings must be done with caution. Our analysis concentrates on a single outbreak of 318 cases, rather than a set of past Ebola outbreaks, which have ranged from a small number of cases to several thousand (Figure 6B). Analyses of data from a large number of historical outbreaks simultaneously would help reduce this stochastic uncertainty and allow comparative studies to be performed. By making the line listing of the 1976 outbreak available (Supplementary File S1), we hope to stimulate such work. Comparative studies could potentially shed further light on which underlying factors contribute to the differences in outcome of Ebola outbreaks, and which control measures are likely to be most effective.

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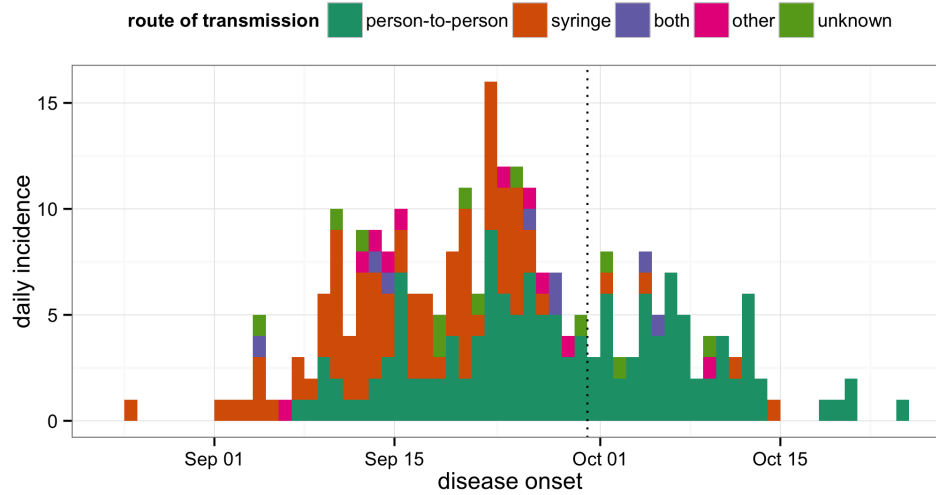


Figure 1: Daily incidence time series of Ebola virus disease onsets in 1976. Cases are coloured by route of transmission, as reported by the epidemiological investigation team (Breman et al., 1978). ‘Both’ indicates infections that could have come from syringe or person-to-person transmission; ‘other’ denotes alternative infection routes (mainly congenital). The dotted line corresponds to the hospital closure date (30th September).

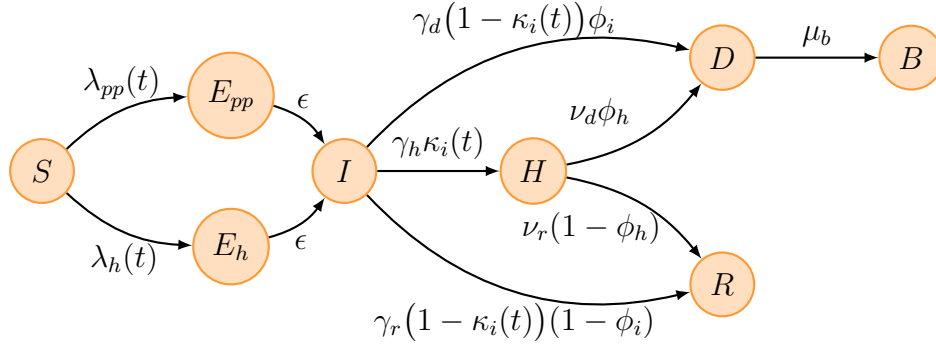


Figure 2: Schematic of model structure. Individuals start off susceptible to infection ( $S$ ). Upon infection they enter an incubation period ( $E$ ), then at symptom onset they become infectious in the community ( $I$ ). After this point, they either: enter a recovered state ( $R$ ); remain infectious and go into hospital ( $H$ ); or die and remain infectious ( $D$ ) until buried ( $B$ ). Hospitalised infectives also move either into the recovered or dead compartment. Finally, the  $E$  compartment is split according to the route of transmission in order to keep track whether a case was infected via contaminated syringes at the hospital ( $E_h$ ) or by person-to-person contact ( $E_{pp}$ ) with either an infective in the community or a dead but not buried case. The forces of infection for the two transmission processes are  $\lambda_h(t) = \beta_h(t)H/N$  and  $\lambda_{pp}(t) = (\beta_i(t)I + \beta_d(t)D)/N$ , where  $\beta_h(t)$ ,  $\beta_i(t)$  and  $\beta_d(t)$  are the time-varying transmission rates given by Equation (1). Other parameters are as follows:  $\epsilon$ , inverse of the mean incubation period;  $\gamma_h$ ,  $\gamma_d$  and  $\gamma_r$ , inverse of the mean duration from symptom onset to hospitalization, death and recovery respectively;  $\nu_d$  and  $\nu_r$ , inverse of the mean duration from hospitalization to death and recovery respectively (see Equation (7));  $\mu_b$ , inverse of the mean duration from death to burial;  $\kappa_i(t)$  is computed to ensure that the overall hospitalisation rate is equal to  $\kappa$  until hospital closure (see Equation (5));  $\phi_i$  and  $\phi_h$  are computed to ensure that the overall case-fatality ratio is equal to  $\phi$  (see Equation (4)). Parameter values and prior assumptions can be found in Table 2. The model was simulated by integrating the set (3) of ordinary differential equations using the **SSM** library (Dureau et al., 2013).

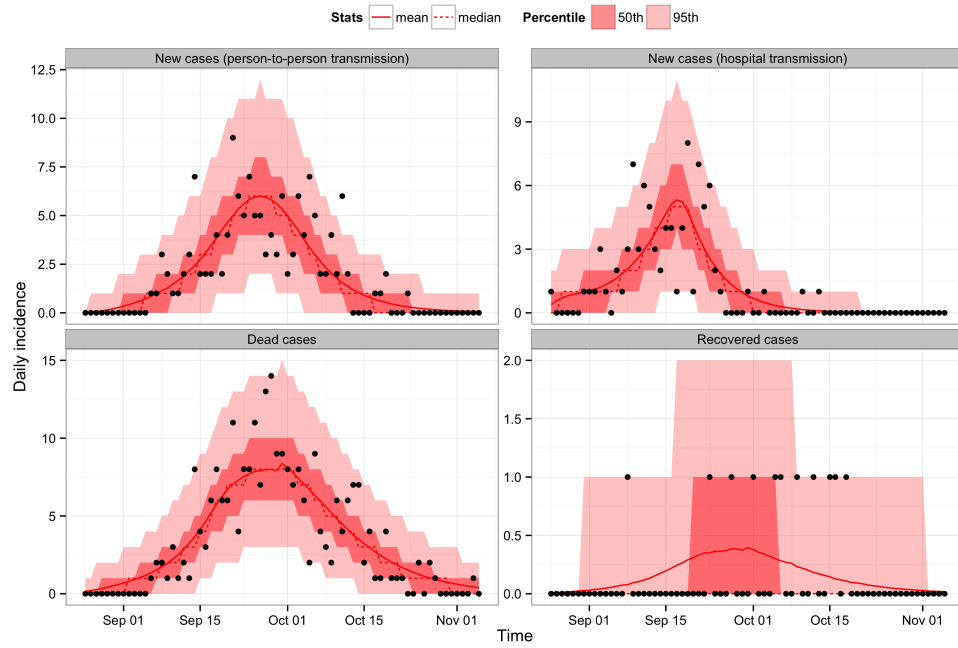


Figure 3: Comparison of our fitted model and observed daily incidence time series (black dots) reconstructed from the line list of Ebola cases in Zaire in 1976. The mean and median fits are represented by solid and dashed red lines respectively. The dark and light red shaded areas correspond to the 50% and 95% credible intervals.

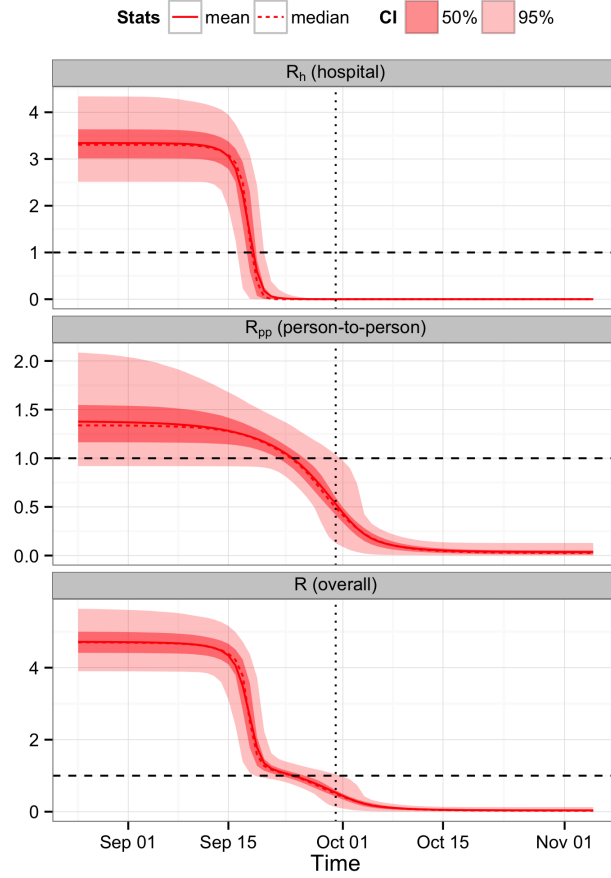


Figure 4: Drop in the reproduction number ( $R(t)$ ) owing to change of behaviour in community contacts and visit of outpatients to the hospital. The overall  $R$  (lower panel) can be split into an hospital (upper panel) and person-to-person (middle panel) component. The dashed line indicates the epidemic threshold ( $R = 1$ ) and the dotted line corresponds to the hospital closure (30th September). Solid, dashed and shaded red lines/area as in Figure 3.

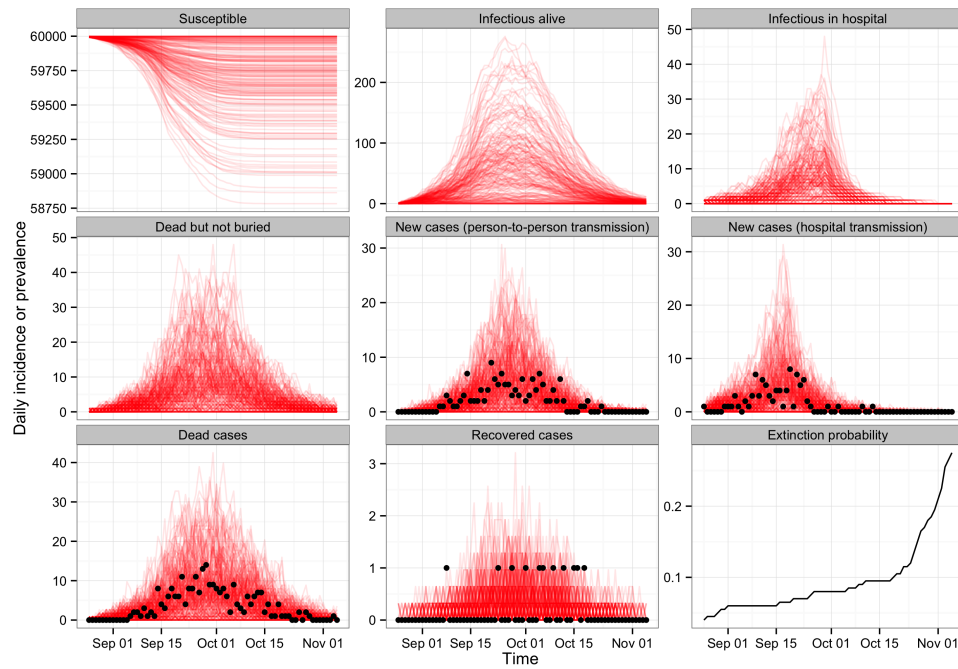


Figure 5: Potential alternative trajectories of an Ebola outbreak in Yambuku. Ten thousand stochastic simulations were run with parameter values taken from the maximum a posteriori probability estimate (for readability only the first 200 are plotted). For comparison, data are plotted as black dotted points.

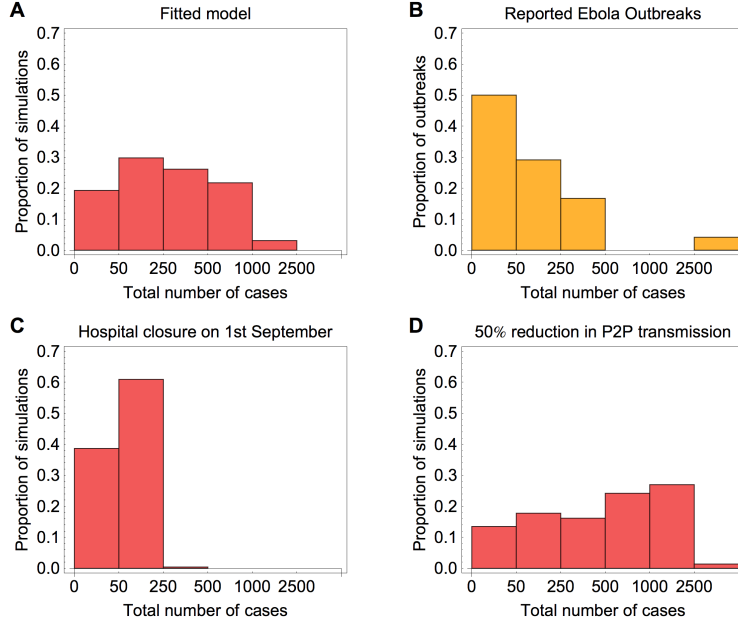


Figure 6: Distribution of Ebola outbreak sizes in different scenarios. (A) Outbreak size distribution from 10,000 stochastic simulations using the maximum a posteriori probability estimate. (B) Distribution of number of cases reported in Ebola outbreaks in Africa from 1976 to present. (C) Outbreak size distribution from 10,000 stochastic simulations when hospital is closed 7 days after the date of the first onset (i.e. 1st September). All other parameters remain the same. (D) Outbreak size distribution from 10,000 stochastic simulations when person-to-person transmission is reduced by 50% rather than 98%. The final category includes all outbreaks with more than 2500 cases.

| Location | Date   | $R_0$ | 95% CI (if given) | Reference                     |
|----------|--------|-------|-------------------|-------------------------------|
| DRC      | 1995   | 1.83  |                   | Chowell et al. (2004)         |
|          |        | 3.65  | 3.05–4.33         | Ferrari et al. (2005)         |
|          |        | 2.7   | 1.9–2.8           | Legrand et al. (2007)         |
|          |        | 1.38  |                   | Lekone and Finkenstädt (2006) |
|          |        | 2.22  | 1.9–2.73          | Ndanguza et al. (2013)        |
|          |        | 1.93  | 1.74–2.78         | White and Pagano (2008)       |
| Uganda   | 2000/1 | 1.34  |                   | Chowell et al. (2004)         |
|          |        | 1.79  | 1.52–2.30         | Ferrari et al. (2005)         |
|          |        | 2.7   | 2.5–4.1           | Legrand et al. (2007)         |

Table 1: Previously published estimates of basic reproduction number,  $R_0$ , for Ebola.

| Parameter             | Description                                                                                          | Prior                                        | Estimates: median (95% CI) |
|-----------------------|------------------------------------------------------------------------------------------------------|----------------------------------------------|----------------------------|
| $N$                   | Population size                                                                                      | Fixed                                        | 60,000                     |
| $T_0$                 | Date of introduction of index case to H compartment                                                  | $\mathcal{U}[\text{Aug 05} - \text{Aug 25}]$ | Aug 24 (Aug 21 – Aug 24)   |
| $T_h$                 | Date of hospital closure                                                                             | Fixed                                        | Sep 30                     |
| $\rho_{\text{onset}}$ | Proportion of onsets reported                                                                        | $\mathcal{N}(0.71, 0.05)$                    | 0.70 (0.62 – 0.79)         |
| $\rho_d$              | Proportion of death reported                                                                         | $\mathcal{N}(0.89, 0.05)$                    | 0.89 (0.80 – 0.97)         |
| $\rho_r$              | Proportion of recovery reported                                                                      | $\mathcal{N}(0.29, 0.05)$                    | 0.28 (0.19 – 0.39)         |
| $\kappa$              | Proportion of cases hospitalised until hospital closure                                              | $\mathcal{N}(0.17, 0.05)$                    | 0.21 (0.14 – 0.30)         |
| $\phi$                | Case-fatality ratio                                                                                  | $\mathcal{U}[0 - 1]$                         | 0.88 (0.80 – 0.94)         |
| $1/\epsilon$          | Incubation period (days)                                                                             | $\mathcal{N}(6, 0.1)$                        | 5.99 (5.80 – 6.18)         |
| $1/\gamma_h$          | Mean time from onset to hospitalisation (days)                                                       | $\mathcal{N}(3, 0.1)$                        | 3.00 (2.81 – 3.20)         |
| $1/\gamma_d$          | Mean time from onset to death (days)                                                                 | $\mathcal{N}(7.5, 0.1)$                      | 7.49 (7.30 – 7.69)         |
| $1/\gamma_r$          | Mean time from onset to recovery (days)                                                              | $\mathcal{N}(10, 0.1)$                       | 10.00 (9.80 – 10.19)       |
| $1/\mu_b$             | Mean time from death to burial (days)                                                                | $\mathcal{N}(1, 0.1)$                        | 0.99 (0.80 – 1.18)         |
| $\beta_i$             | Transmission rate in the community at the onset of the epidemic                                      | $\mathcal{U}[0 - 100]$                       | 0.10 (0.01 – 0.20)         |
| $\beta_d$             | Transmission rate during traditional burial at the onset of the epidemic                             | $\mathcal{U}[0 - 100]$                       | 0.78 (0.08 – 2.00)         |
| $\alpha_{pp}$         | Shape of the change of person-to-person contact behaviour in community and during traditional burial | $\mathcal{U}[0 - 5]$                         | 0.30 (0.14 – 4.17)         |
| $\tau_{pp}$           | Midpoint date for the change of person-to-person contact behaviour                                   | $\mathcal{U}[\text{Aug 25} - \text{Oct 14}]$ | Sep 27 (Sep 20 – Oct 03)   |
| $\delta_{pp}$         | Reduction of the person-to-person transmission rate following change of contact behaviour (%)        | $\mathcal{U}[0 - 100]$                       | 98.00 (90.00 – 100.00)     |
| $\beta_h$             | Transmission rate in hospital at the onset of the epidemic                                           | $\mathcal{U}[0 - 100]$                       | 3.24 (2.36 – 4.43)         |
| $\alpha_h$            | Shape of the change of hospital seeking behaviour from outpatients                                   | $\mathcal{U}[0 - 5]$                         | 2.29 (0.49 – 4.85)         |
| $\tau_h$              | Midpoint date for the change of hospital seeking behaviour                                           | $\mathcal{U}[\text{Aug 25} - \text{Sep 30}]$ | Sep 17 (Sep 14 – Sep 19)   |

Table 2: Parameter definitions and corresponding estimates. Prior distributions used during model fitting are also shown.

| Parameter | Route of transmission                              | Estimates: median (95% CI) |
|-----------|----------------------------------------------------|----------------------------|
| $R_{0h}$  | Hospital via syringe                               | 3.32 (2.53 – 4.34)         |
| $R_{0pp}$ | Person-to-person (in community and during funeral) | 1.34 (0.92 – 2.11)         |
| $R_0$     | Overall                                            | 4.71 (3.92 – 5.66)         |

Table 3: Estimates of the basic reproduction number,  $R_0$ , split into different component transmission routes.